#### **Objectives**

In this Lecture you will learn:

- The basic terminology.
- How to form queries in the relational algebra.
- The categories of relational Data Manipulation Languages (DMLs).

## **1. Terminology**

## 1.1 Relational Data Structure

**Relation:** A relation is a table with columns and rows.

**Attribute:** An attribute is a named column of a relation.

**Domain:** A domain is the set of allowable values for one or more attributes.

**Tuple:** A tuple is a row of a relation.

**Degree:** The degree of a relation is the number of attributes it contains.

**Cardinality:** The cardinality of a relation is the number of tuples it contains.

**Relational database:** A collection of normalized relations with distinct relation names.

**Null:** Represents a value for an attribute that is currently unknown or is not applicable for this tuple.



Fig. 1: Basic Structure of Rational Model.



**Superkey:** An attribute, or set of attributes, that uniquely identifies a tuple within a relation.

 A superkey uniquely identifies each tuple within a relation. However, a superkey may contain additional attributes that are not necessary for unique identification, and we are interested in identifying superkeys that contain only the minimum number of attributes necessary for unique identification.

#### **Candidate key**

A candidate key *K* for a relation *R* has two properties:

- *Uniqueness.* In each tuple of *R*, the values of *K* uniquely identify that tuple.
- *Irreducibility.* No proper subset of *K* has the uniqueness property.

**Primary key:** The candidate key that is selected to identify tuples uniquely within the relation.

Because a relation has no duplicate tuples, it is always possible to identify each row uniquely. This means that a relation always has a primary key. In the worst case, the entire set of attributes could serve as the primary key, but usually some smaller subset is sufficient to distinguish the tuples.

The candidate keys that are not selected to be the primary key are called **alternate keys**. For the Branch relation, if we choose branchNo as the primary key, postcode would then be an alternate key. For the Viewing relation, there is only one candidate key, comprising clientNo and propertyNo, so these attributes would automatically form the primary key.

**Foreign key:** An attribute, or set of attributes, within one relation that matches the candidate key of some (possibly the same) relation.

When an attribute appears in more than one relation, its appearance usually rep- resents a relationship between tuples of the two relations. For example, the inclusion of branchNo in both the Branch and Staff relations is quite deliberate and links each branch to the details of staff working at that branch. In the Branch relation, branchNo is the primary key. However, in the Staff relation, the branchNo attribute exists to match staff to the branch office they work in. In the Staff relation, branchNo is a foreign key. We say that the attribute branchNo in the Staff relation **targets** the primary key attribute branchNo in the **home relation**, Branch. These common attributes play an important data manipulation, as we see in the next chapter.

#### 1.2 Representing Relational Database Schemas

 A relational database consists of any number of normalized relations. The relational schema for part of the *DreamHome* case study in APENDEX is:

# LEC 1 Relational Model Assist Lec. Mohammed Dheyaa Badr DBMS University of Basrah College of CS & IT



 The common convention for representing a relation schema is to give the name of the relation followed by the attribute names in parentheses. Normally, the primary key is underlined.

#### 1.3 Integrity Constraints

 There are constraints (called **domain constraints**) that form restrictions on the set of values allowed for the attributes of relations. In addition, there are two important **integrity rules**, which are constraints or restrictions that apply to all instances of the database. The two principal rules for the relational model are known as entity integrity and **referential integrity**.

#### 1.3.1 Entity Integrity

In a base relation, no attribute of a primary key can be null.

#### 1.3.2 Referential Integrity

 If a foreign key exists in a relation, either the foreign key value must match a candidate key value of some tuple in its home relation or the foreign key value must be wholly null.

#### 1.3.3 General Integrity

 Additional rules specified by the users or database administrators of a database that define or constrain some aspect of the enterprise.

# 2. The Relational Algebra

The relational algebra is a theoretical language with operations that work on one or more relations to define another relation without changing the original relation(s). Thus both the operands and the results are relations, and so the output from one operation can become the input to another operation.

# 2.1 Unary Operations

We start the discussion of the relational algebra by examining the two unary operations: **Selection** and **Projection.**

#### **2.1.1** Selection

 The Selection (or Restriction) operation works on a single relation *R* and defines a relation that contains only those tuples of *R* that satisfy the specified **condition** ( or *predicate*).

**Listing 1** : List all *staff* with a salary greater than £10000.

**Algebraic form :**

**ƠSalary > 1000 (Staff)**

**Ơpredicate (R)**

## **MySQL form:**

**Analysis**: Here, the input relation is Staff and the predicate is salary > 10000. The Selection operation defines a relation containing only those Staff tuples with a salary greater than £10000

# **Input Relations**

**Staff** 





#### 2.1.2 Projection

 The Projection operation works on a single relation R and defines a relation that contains a vertical subset of R, extracting the values of specified attributes and eliminating duplicates.

 $\Pi_{a_1 \ldots, a_n}$ (R)

**Listing 2**: Produce a list of salaries for all staff, showing only the *staffNo, fName, IName,* and *salary* details.

#### **Algebraic form:**

 $\Pi_{\text{staffNo, fName, IName, salary}}(\text{Staff})$ 

**Analysis**: The Projection operation defines a relation that contains only the designated Staff attributes *staffNo, fName, IName*, and *salary* in the specified order.

#### **Input Relations**



#### **Staff**



# 2.2 Set operation

The Selection and Projection operations extract information from only one relation. There are obviously cases where we would like to combine information from several relations.

## *2.2.1 Union*

 The union of two relations R and S defines a relation that contains all the tuples of R, or S, or both R and S, duplicate tuples being eliminated. R and S must be union compatible.

## $R \cup S$

**Listing 3**: List all *cities* where there is either a *branch* office or a *property for rent*.

# **Algebraic form:**

 $\Pi_{\text{city}}$ (Branch)  $\cup$   $\Pi_{\text{city}}$ (PropertyForRent)

# **Analysis**:

 To produce union-compatible relations, we first use the Projection operation to project the *Branch* and *PropertyForRent* relations over the attribute city, eliminating duplicates where necessary.

# **Input Relations**





#### **2.2.2 Set Difference**

 The Set difference operation defines a relation consisting of the tuples that are in relation R, but not in S. R and S must be union-compatible.

# $R-S$

**Listing 4**: List all cities where there is a branch office but no properties for rent.

# **Algebraic form:**

 $\Pi_{\text{city}}(\text{Branch}) - \Pi_{\text{city}}(\text{PropertyForRent})$ 

#### **Analysis**:

 As in the previous example, we produce union-compatible relations by projecting the *Branch* and *PropertyForRent* relations over the attribute city. We then use the Set difference operation to combine these new relations to produce the result shown in Result's figure.

## **Input Relations**



## **Result Relations**



#### **2.2.3 Intersection**

 The Intersection operation defines a relation consisting of the set of all tuples that are in both R and S. R and S must be union-compatible.

**Listing 5**: List all cities where there is both a branch office and at least one property for rent.

# **Algebraic form:**

 $\Pi_{\text{city}}$ (Branch)  $\cap \Pi_{\text{city}}$ (PropertyForRent)

#### **Analysis**:

 As in the previous example, we produce union-compatible relations by projecting the Branch and PropertyForRent relations over the attribute city. We then use the Intersection operation to combine these new relations to produce the result shown in result's figure.

## **Input Relations**



## **Result Relations**



## **2.2.4. Cartesian product**

 The Cartesian product operation defines a relation that is the concatenation of every tuple of relation *R* with every tuple of relation *S*.

- The Cartesian product operation multiplies two relations to define another relation consisting of all possible pairs of tuples from the two relations. Therefore, if one relation has *I* tuples and *N* attributes and the other has *J* tuples and *M* attributes, the Cartesian product relation will contain (*I* \* *J*) tuples with *(N* +*M*) attributes.
- It is possible that the two relations may have attributes with the same name. In this case, the attribute names are prefixed with the relation name to maintain the uniqueness of attribute names within a relation.



**Listing 6**: List the *names* and *comments* of all *clients* who have *viewed* a property for rent.

## **Algebraic form:**

 $\Pi_{\text{clientNo, fName, IName}}$  (Client))  $\times$  ( $\Pi_{\text{clientNo, propertyNo, comment}}$  (Viewing))

#### **Analysis**:

 In this above form, this relation contains more information than we require as shown in Result 1. For example, the first tuple of this relation contains different *clientNo* values. To obtain the required list, we need to carry out a Selection operation on this relation to extract those tuples where *Client.clientNo = Viewing.clientNo*. thus it becomes:

 $\sigma_{\text{Client. clientNo}} = \text{Viewing. clientNo}((\Pi_{\text{clientNo, fName, IName, IName}}(\text{Client})) \times (\Pi_{\text{clientNo, propertyNo, comment}}(\text{Viewing}))$ 

## **Input Relations**

#### Client



Viewing



# LEC 1 Relational Model Assist Lec. Mohammed Dheyaa Badr DBMS University of Basrah College of CS & IT

#### **Result Relations 1:**



#### **Result Relations 2:**



#### 2.3 Join Operations

- Typically, we want only combinations of the Cartesian product that satisfy certain conditions and so we would normally use a **Join operation** instead of the Cartesian product operation.
- The Join operation, which combines two relations to form a new relation.
- Join is a derivative of Cartesian product.
- Join is equivalent to performing a Selection operation over the Cartesian product of the two operand relations.
- Join is one of the most difficult operations to implement efficiently in an RDBMS and is one of the reasons why relational systems have intrinsic performance problems.
- There are various forms of the Join operation, each with subtle differences, some more useful than others:
	- Theta join
	- Equijoin (a particular type of Theta join)
	- Natural join
	- Outer join
	- Semijoin

# 2.3.1 Inner Join

An Inner Join returns only the rows in both tables that match the join condition.



Fig. 2 : Inner Join.

2.3.1.1 Theta Join  $(\emptyset)$  Join)

 The Theta join operation defines a relation that contains tuples satisfying the predicate (or Condition) <sup>F</sup> from the Cartesian product of R and S.

# $R \Join_{\mathsf{F}} S$

 We can rewrite the Theta join in terms of the basic Selection and Cartesian product operations:

$$
R \bowtie_{F} S = \sigma_{F}(R \times S)
$$

## **Listing 6**: List all employee names and addresses whom age is greater than the salary

#### **Algebraic form:**

 $\Pi_{\text{Name}}(\text{Table1}) \bowtie_{\text{Table1.age}} > \text{Table2.Salary } \Pi_{\text{Address}}(\text{Table2})$ 

## **Algebraic form:**

SELECT Table1.Name, Table2.Address FROM Table1 INNER JOIN Table2 ON Table1.Age > Table2.Salary;

#### **Analysis**:

To perform a Theta Join, we can join the two tables on the condition that the age in Table1 is greater than the salary in Table2

#### **Input Relations**

Table1:



Table2:





# 2.3.1.2 EquiJoin

 In the case where the predicate F contains only equality in theta join, the term Equijoin is used instead.

**Listing 7**: List the names and comments of all clients who have viewed a property for rent.

# **Algebraic form:**

 $(\Pi_{\mathsf{clientNo},\ \mathsf{fName},\ \mathsf{IName}}(\mathsf{Client}))\ \bowtie\ \mathsf{Client}.\mathsf{clientNo} = \mathsf{Viewing}.\mathsf{clientNo}\ \left(\Pi_{\mathsf{clientNo},\ \mathsf{propertyNo},\ \mathsf{comment}}(\mathsf{Viewing})\right)$ 

# **SQL form:**

SELECT Client.clientNo, Client.fName, Client.IName, Viewing.propertyNo, Viewing.comment FROM Client INNER JOIN Viewing ON Client.clientNo = Viewing.clientNo;

#### **Analysis**:

we used the Cartesian product and Selection operations to obtain this list. However, the same result is obtained using the Equijoin operation.

# **Input Relations**

**Client** 



Viewing



# **Result Relations**



#### 2.3.1.3 Natural join

- The Natural join is an Equijoin of the two relations R and S over all common attributes x.
- One occurrence of each common attribute is eliminated from the result.
- A Natural Join is a type of Join that matches columns with the same name in both tables.

**Listing 8**: List the names and comments of all clients who have viewed a property for rent.

## **Algebraic form:**

 $(\Pi_{\mathsf{clientNo},\ \mathsf{fName},\ \mathsf{IName}}(\mathsf{Client}))\ \bowtie\ (\Pi_{\mathsf{clientNo},\ \mathsf{propertyNo},\ \mathsf{comment}}(\mathsf{Viewing}))$ 

#### **SQL form:**

SELECT Client.clientNo, Client.fName, Client.IName, Viewing.propertyNo, Viewing.comment FROM Client NATURAL JOIN Viewing

#### **Analysis**:

We use Equijoin to produce the same result relation, but the resulting relation had two occurrences of the join attribute clientNo. We can use the Natural join to remove one occurrence of the clientNo attribute.

#### **Input Relations**

**Client** 



#### Viewing



#### **Result Relations**



#### **Listing 9**: List all information of all employee who have use their off day.

#### **Algebraic form:**

Π ID,Name,Age (Table1) ΠID,Address,Salary (Table2)

10

#### **SQL form:**

SELECT Table1.ID, Table1.Name, Table1.Age, Table2.Address, Table2.Salary FROM Table1 NATURAL JOIN Table2;

#### **Analysis**:

To perform a Natural Join, we can simply use the above query.

#### **Input Relations**

Table1:

 $\mathbf{1}$ 

Table2:



# **Result Relations**



# 2.3.2 Outer join

 Often in joining two relations, a tuple in one relation does not have a matching tuple in the other relation; in other words, there is no matching value in the join attributes.

We may want tuples from one of the relations to appear in the result even when there are no matching values in the other relation. This may be accomplished using the Outer join.

 The (left) Outer join is a join in which tuples from R that do not have matching values in the common attributes of S are also included in the result relation.

Missing values in the second relation are set to **null**.

An Outer Join in DBMS returns all the rows from one table and the matching rows from the other table. If there is no match, NULL values are returned for the missing rows.

## 2.3.2.1 Left Outer join

 The (left) Outer join is a join in which tuples from R that do not have matching values in the common attributes of S are also included in the result relation. Missing values in the second relation are set to null

# $R \gg S$

A Left Outer Join in DBMS returns all the rows from the left table and the matching rows from the right table. If there is no match, NULL values are returned for the missing rows.



Fig. 3: Left Outer Join

# **Listing 10**: Produce a status report (Location) on property viewings.

## **Algebraic form:**

 $(\prod_{\text{propertyNo, street, city}}(PropertyForRent)) \geq 1$  Viewing

## **SQL form:**

SELECT PropertyForRent.PropertyNo, PropertyForRent.street, PropertyForRent.city, Viewing.\* FROM PropertyForRent LEFT JOIN Viewing;

#### **Analysis**:

Note that properties PL94, PG21, and PG16 have no viewings, but these tuples are still contained in the result with nulls for the attributes from the Viewing relation.

#### **Input Relations**

ON Table1.ID = Table2.ID;

PropertyForRent



Viewing





#### 2.3.2.2 Right Outer join

 A Right Outer Join returns all the rows from the right table and the matching rows from the left table. If there is no match, NULL values are returned for the missing rows.

 The (Right) Outer join is a join in which tuples from S that do not have matching values in the common attributes of R are also included in the result relation.



**Listing 11**: List all off days addresses with employees names.

#### **Algebraic form:**

 $\Pi_{\text{Name}}$  (Table1)  $\lt\sqrt{\Pi_{\text{Address}}}$  (Table2)

#### **SQL form:**

SELECT Table1.Name, Table2.Address FROM Table1 RIGHT JOIN Table2 ON Table1.ID = Table2.ID;

#### **Analysis**:

To perform a Right Outer Join, we can join the two tables on the ID column.

Table2:

#### **Input Relations**

Table1:





# **Result Relations**



# 2.3.2.2 Full Outer join

 A Full Outer Join returns all the rows from both tables and NULL values for the missing rows.



**Listing 12**: List all off days addresses with employees names.

# **SQL form:**

```
SELECT Table1.Name, Table2.Address
FROM Table1
FULL OUTER JOIN Table2
ON Table1.ID = Table2.ID;
```
# **Analysis**:

To perform a Full Outer Join, we can join the two tables on the ID column.

# **Input Relations**

#### Table1:



# **Result Relations**



#### 2.3.3 Semi join

 The Semijoin operation defines a relation that contains the tuples of R that participate in the join of R with S satisfying the predicate F.

 The Semijoin operation performs a join of the two relations and then projects over the attributes of the first operand. One advantage of a Semijoin is that it decreases the number of tuples that need to be handled to form the join.

 $R \triangleright_{\varepsilon} S = \prod_{A} (R \bowtie_{\varepsilon} S)$  A is the set of all attributes for R

**Listing 13**: List complete details of all staff who work at the branch in Glasgow.

#### **Algebraic form:**

ON Table1.ID = Table2.ID;

Staff  $\triangleright$  Staff branchNo = Branch branchNo $(\sigma_{city} = \text{``Glassow''}(Branch))$ 

#### **SQL form:**

SELECT PropertyForRent.PropertyNo, PropertyForRent.street, PropertyForRent.city, Viewing.\* FROM PropertyForRent LEFT JOIN Viewing;



## **Analysis**:

 If we are interested in seeing only the attributes of the Staff relation, we can use the fol lowing Semijoin operation, producing the relation shown in the result.

# **Input Relations**

#### **Branch**



**Staff** 



# **Result Relations**



# **A Quick Revision of Joins in DBMS**

- To assist you in a good revision, a quick revision on Joins in DBMS is given below:
- Joins in DBMS is used to combine tables.
- There are three types of joins: inner joins, natural joins, and outer joins.
- Inner joins are classified into two types: Theta Join(for relational operators) and Equi Join(for Equality).
- There are three types of outer joins in DBMS: left outer join, right outer join, and full outer join.
- Natural join is only performed when at least one matching attribute exists in both tables.
- No matter the Join condition, a left outer join always returns every row from the left table.
- Regardless of the Join condition, Right Outer Join always returns all rows from the right table.
- Regardless of the join condition, Complete Outer Join always returns all rows from both tables.

**Table 5.1** Operations in the relational algebra.



#### OPERATION NOTATION FUNCTION

# Checkpoints:

- 1- Discuss the differences between the five Join operations: Theta join, Equijoin, Natural join, Outer join, and Semijoin. Give examples to illustrate your answer.
- 2- Using relational algebra, produce a report of all employees from the IT and planning departments who are born after 1990.

The following tables form part of a database held in an RDBMS:

**Employee** (empNo, fName, lName, address, DOB, sex, position, deptNo)

**Department** (deptNo, deptName, mgrEmpNo)

**Project** (projNo, projName, deptNo)

**WorksOn** (empNo, projNo, dateWorked, hoursWorked)

where



- **Department** contains department details and deptNo is the key. mgrEmpNo identifies the employee who is the manager of the department. There is only one manager for each department.
- **Project** contains details of the projects in each department and the key is projNo (no two departments can run the same project).
- **WorksOn** contains details of the hours worked by employees on each project, and empNo/ projNo/dateWorked form the key.

Formulate the following queries in relational algebra, tuple relational calculus, and domain relational calculus.

- A. List all employees.
- B. List all the details of employees who are female and born after 1990.
- C. List all employees who are not managers and are paid more than \$1500.
- D. Produce a list of the names and addresses of all employees who work for the IT department.
- E. Produce a list of the names of all employees who work on the SCCS project.
- F. Produce a complete list of all managers who are due to retire this year, in alphabetical order of surname.



## APENDEX:

#### **Branch**



#### Staff



#### PropertyForRent



#### Client





# LEC 1 Relational Model Assist Lec. Mohammed Dheyaa Badr DBMS University of Basrah College of CS & IT

#### **PrivateOwner**



#### Viewing



#### Registration



too small<br>too remote<br>no dining room<br>and interactions<br>and the distribution of the distribution of the distribution of the distribution of the state of the st