## Chapter 1: Introduction

### 1.1 Overview of Number Theory

## The Division Algorithm

Given any positive integer $n$ and any nonnegative integer $a$, if we divide $a$ by $n$, we get an integer quotient $q$ and an integer remainder $r$ that obey the following relationship:

$$
\begin{equation*}
a=q n+r \quad 0 \leq r<n ; q=\lfloor a / n\rfloor \tag{1}
\end{equation*}
$$

where $\lfloor x\rfloor$ is the largest integer less than or equal to $x .(\mathrm{qn} \leq \mathrm{a})$
Example:

$$
\begin{array}{ll}
a=70 ; n=15 ; & 70=(4 * 15)+10 \\
a=11 ; n=7 ; & 11=(1 * 7)+4 \\
a=-11 ; n=7 ; & -11=(-2 * 7)+3
\end{array}
$$

## THE EUCLIDEAN ALGORITHM

## Greatest Common Divisor

Recall that nonzero $b$ is defined to be a divisor of $a$ if $a=m b$ for some $m$, where $a, b$, and $m$ are integers. We will use the notation $\operatorname{gcd}(a, b)$ to mean the greatest common divisor of $a$ and $b$. The greatest common divisor of $a$ and $b$ is the largest integer that divides both $a$ and $b$. We also define $\operatorname{gcd}(0,0)=0$.

Because we require that the greatest common divisor be positive, $\operatorname{gcd}(a, b)=\operatorname{gcd}(a,-b)=\operatorname{gcd}(-a$, $b)=\operatorname{gcd}(-a,-b)$. In general, $\operatorname{gcd}(a, b)=\operatorname{gcd}(|a|,|b|)$.

| Dividend | Divisor |  | Quotient | Remainder |  |
| :---: | :---: | :---: | :---: | ---: | :---: |
| $a=1160718174$ | $b=316258250$ | $q_{1}=3$ | $r_{1}=211943424$ |  |  |
| $b=316258250$ | $r_{1}=211943434$ | $q_{2}=1$ | $r_{2}=104314826$ |  |  |
| $r_{1}=211943424$ | $r_{2}=104314826$ | $q_{3}=2$ | $r_{3}=3313772$ |  |  |
| $r_{2}=104314826$ | $r_{3}=3313772$ | $q_{4}=31$ | $r_{4}=1587894$ |  |  |
| $r_{3}=3313772$ | $r_{4}=1587894$ | $q_{5}=2$ | $r_{5}=$ | 137984 |  |
| $r_{4}=1587894$ | $r_{5}=137984$ | $q_{6}=11$ | $r_{6}=$ | 70070 |  |
| $r_{5}=$ | 137984 | $r_{6}=$ | 70070 | $q_{7}=1$ |  |
| $r_{7}=$ | 70070 | $r_{7}=$ | 67914 | $q_{8}=1$ |  |
| $r_{7}=$ | 67914 | $r_{8}=$ | 2156 | $q_{9}=31$ |  |
| $r_{8}=$ | 2156 |  |  |  |  |
| $r_{8}=$ | 2156 | $r_{9}=$ | 1078 | $q_{10}=2$ |  |

$\operatorname{gcd}(a, b)=\operatorname{gcd}(b, a \bmod b)$

## MODULAR ARITHMETIC

$11 \bmod 7=4 ;-11 \bmod 7=3$
$[(a \bmod n)+(b \bmod n)] \bmod n=(a+b) \bmod n$
$[(a \bmod n)-(b \bmod n)] \bmod n=(a-b) \bmod n$
$[(a \bmod n) *(b \bmod n)] \bmod n=(a * b) \bmod n$

## The Extended Euclidean Algorithm

$a x+b y=d=\operatorname{gcd}(a, b)$

| Extended Euclidean Algorithm |  |  |  |
| :---: | :---: | :---: | :---: |
| Calculate | Which satisfies | Calculate | Which satisfies |
| $r_{-1}=a$ |  | $x_{-1}=1 ; y_{-1}=0$ | $a=a x_{-1}+b y_{-1}$ |
| $r_{0}=b$ |  | $x_{0}=0 ; y_{0}=1$ | $b=a x_{0}+b y_{0}$ |
| $\begin{aligned} & r_{1}=a \bmod b \\ & q_{1}=\lfloor a / b\rfloor \end{aligned}$ | $a=q_{1} b+r_{1}$ | $\begin{aligned} & x_{1}=x_{-1}-q_{1} x_{0}=1 \\ & y_{1}=y_{-1}-q_{1} y_{0}=-q_{1} \end{aligned}$ | $r_{1}=a x_{1}+b y_{1}$ |
| $\begin{aligned} r_{2} & =b \bmod r_{1} \\ q_{2} & =\left\lfloor b / r_{1}\right\rfloor \end{aligned}$ | $b=q_{2} r_{1}+r_{2}$ | $\begin{aligned} & x_{2}=x_{0}-q_{2} x_{1} \\ & y_{2}=y_{0}-q_{2} y_{1} \end{aligned}$ | $r_{2}=a x_{2}+b y_{2}$ |
| $\begin{aligned} & r_{3}=r_{1} \bmod r_{2} \\ & q_{3}=\left\lfloor r_{1} / r_{2}\right\rfloor \end{aligned}$ | $r_{1}=q_{3} r_{2}+r_{3}$ | $\begin{aligned} & x_{3}=x_{1}-q_{3} x_{2} \\ & y_{3}=y_{1}-q_{3} y_{2} \end{aligned}$ | $r_{3}=a x_{3}+b y_{3}$ |
|  |  |  |  |
| $\begin{aligned} r_{n} & =r_{n-2} \bmod r_{n-1} \\ q_{n} & =\left\lfloor r_{n-2} / r_{n-1}\right\rfloor \end{aligned}$ | $r_{n-2}=q_{n} r_{n-1}+r_{n}$ | $\begin{aligned} & x_{n}=x_{n-2}-q_{n} x_{n-1} \\ & y_{n}=y_{n-2}-q_{n} y_{n-1} \end{aligned}$ | $r_{n}=a x_{n}+b y_{n}$ |
| $\begin{aligned} & r_{n+1}=r_{n-1} \bmod r_{n}=0 \\ & q_{n+1}=\left\lfloor r_{n-1} / r_{n}\right\rfloor \end{aligned}$ | $r_{n-1}=q_{n+1} r_{n}+0$ |  | $\begin{aligned} & d=\operatorname{gcd}(a, b)=r_{n} \\ & x=x_{n} ; y=y_{n} \end{aligned}$ |

Example $a=1759 ; b=550$

| $\boldsymbol{i}$ | $\boldsymbol{r}_{\boldsymbol{i}}$ | $\boldsymbol{q}_{\boldsymbol{i}}$ | $\boldsymbol{x}_{\boldsymbol{i}}$ | $\boldsymbol{y}_{\boldsymbol{i}}$ |
| :---: | :---: | :---: | :---: | :---: |
| -1 | 1759 |  | 1 | 0 |
| 0 | 550 |  | 0 | 1 |
| 1 | 109 | 3 | 1 | -3 |
| 2 | 5 | 5 | -5 | 16 |
| 3 | 4 | 21 | 106 | -339 |
| 4 | 1 | 1 | -111 | 355 |
| 5 | 0 | 4 |  |  |

Result: $d=1 ; x=-111 ; y=355$
$q_{i}=\left\lfloor r_{i-2} / r_{i-1}\right\rfloor ; r_{i}=r_{i-2} \bmod r_{i-1}$
$x_{i}=x_{i-2}-q_{i} \times x_{i-1} ; y_{i}=y_{i-2}-q_{i} \times y_{i-1}$

## Euler's Totient Function

Before presenting Euler's theorem, we need to introduce an important quantity in number theory, referred to as Euler's totient function. This function, written $\phi(n)$, is defined as the number of positive integers less than $n$ and relatively prime to $n$. By convention, $\phi(1)=1$.

## DISCRETE LOGARITHMS

For the prime number 19 , its primitive roots are $2,3,10,13,14$, and 15 .

| $\boldsymbol{a} \boldsymbol{a}$ | $\boldsymbol{a}^{\mathbf{2}}$ | $\boldsymbol{a}^{\mathbf{3}}$ | $\boldsymbol{a}^{\mathbf{4}}$ | $\boldsymbol{a}^{\mathbf{5}}$ | $\boldsymbol{a}^{\mathbf{6}}$ | $\boldsymbol{a}^{\mathbf{7}}$ | $\boldsymbol{a}^{\mathbf{8}}$ | $\boldsymbol{a}^{\mathbf{9}}$ | $\boldsymbol{a}^{\mathbf{1 0}}$ | $\boldsymbol{a}^{\mathbf{1 1}}$ | $\boldsymbol{a}^{\mathbf{1 2}}$ | $\boldsymbol{a}^{\mathbf{1 3}}$ | $\boldsymbol{a}^{\mathbf{1 4}}$ | $\boldsymbol{a}^{\mathbf{1 5}}$ | $\boldsymbol{a}^{\mathbf{1 6}}$ | $\boldsymbol{a}^{\mathbf{1 7}}$ | $\boldsymbol{a}^{\mathbf{1 8}}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| 2 | 4 | 8 | 16 | 13 | 7 | 14 | 9 | 18 | 17 | 15 | 11 | 3 | 6 | 12 | 5 | 10 | 1 |
| 3 | 9 | 8 | 5 | 15 | 7 | 2 | 6 | 18 | 16 | 10 | 11 | 14 | 4 | 12 | 17 | 13 | 1 |
| 4 | 16 | 7 | 9 | 17 | 11 | 6 | 5 | 1 | 4 | 16 | 7 | 9 | 17 | 11 | 6 | 5 | 1 |
| 5 | 6 | 11 | 17 | 9 | 7 | 16 | 4 | 1 | 5 | 6 | 11 | 17 | 9 | 7 | 16 | 4 | 1 |
| 6 | 17 | 7 | 4 | 5 | 11 | 9 | 16 | 1 | 6 | 17 | 7 | 4 | 5 | 11 | 9 | 16 | 1 |
| 7 | 11 | 1 | 7 | 11 | 1 | 7 | 11 | 1 | 7 | 11 | 1 | 7 | 11 | 1 | 7 | 11 | 1 |
| 8 | 7 | 18 | 11 | 12 | 1 | 8 | 7 | 18 | 11 | 12 | 1 | 8 | 7 | 18 | 11 | 12 | 1 |
| 9 | 5 | 7 | 6 | 16 | 11 | 4 | 17 | 1 | 9 | 5 | 7 | 6 | 16 | 11 | 4 | 17 | 1 |
| 10 | 5 | 12 | 6 | 3 | 11 | 15 | 17 | 18 | 9 | 14 | 7 | 13 | 16 | 8 | 4 | 2 | 1 |
| 11 | 7 | 1 | 11 | 7 | 1 | 11 | 7 | 1 | 11 | 7 | 1 | 11 | 7 | 1 | 11 | 7 | 1 |
| 12 | 11 | 18 | 7 | 8 | 1 | 12 | 11 | 18 | 7 | 8 | 1 | 12 | 11 | 18 | 7 | 8 | 1 |
| 13 | 17 | 12 | 4 | 14 | 11 | 10 | 16 | 18 | 6 | 2 | 7 | 15 | 5 | 8 | 9 | 3 | 1 |
| 14 | 6 | 8 | 17 | 10 | 7 | 3 | 4 | 18 | 5 | 13 | 11 | 2 | 9 | 12 | 16 | 15 | 1 |
| 15 | 16 | 12 | 9 | 2 | 11 | 13 | 5 | 18 | 4 | 3 | 7 | 10 | 17 | 8 | 6 | 14 | 1 |
| 16 | 9 | 11 | 5 | 4 | 7 | 17 | 6 | 1 | 16 | 9 | 11 | 5 | 4 | 7 | 17 | 6 | 1 |
| 17 | 4 | 11 | 16 | 6 | 7 | 5 | 9 | 1 | 17 | 4 | 11 | 16 | 6 | 7 | 5 | 9 | 1 |
| 18 | 1 | 18 | 1 | 18 | 1 | 18 | 1 | 18 | 1 | 18 | 1 | 18 | 1 | 18 | 1 | 18 | 1 |

### 1.2 RSA Algorithm

The Rivest-Shamir-Adleman (RSA) scheme has since 1977 is the most widely accepted and implemented general-purpose approach to public-key encryption.

| $\quad$ Key Generation by Alice |  |
| :--- | :---: |
| Select $p, q$ | $p$ and $q$ both prime, $p \neq q$ |
| Calculate $n=p \times q$ |  |
| Calcuate $\phi(n)=(p-1)(q-1)$ | $\operatorname{gcd}(\phi(n), e)=1 ; 1<e<\phi(n)$ |
| Select integer $e$ | $d \equiv e^{-1}(\bmod \phi(n))$ |
| Calculate $d$ | $P U=\{e, n\}$ |
| Public key | $P R=\{d, n\}$ |
| Private key |  |

Encryption by Bob with Alice's Public Key
Plaintext: $\quad M<n$
Ciphertext: $\quad C=M^{e} \bmod n$

Decryption by Alice with Alice's Public Key
Ciphertext:
C
Plaintext:
$M=C^{d} \bmod n$

## Example:

$\mathrm{P}=17$; $\mathrm{q}=11$ Find public and private keys? Find the cipher $\mathrm{M}=88$ ?

### 1.3 DIFFIE-HELLMAN KEY EXCHANGE

| Alice and Bob share a |
| :--- |
| prime number $q$ and an |
| integer $\alpha$, such that $\alpha<q$ and |
| $\alpha$ is a primitive root of $q$ |


| Alice and Bob share a |
| :--- |
| prime number $q$ and an |
| integer $\alpha$, such that $\alpha<q$ and |
| $\alpha$ is a primitive root of $q$ |



Example:
$q=353 ; a=3 ; X A=97 ; X B=233$; find the shared key?

### 1.4 ELGAMAL CRYPTOGRAPHIC SYSTEM

|  | Global Public Elements |
| :---: | :---: |
| $q$ | prime number |
| $\alpha$ | $\alpha<q$ and $\alpha$ a primitive root of $q$ |


|  | Key Generation by Allce |
| :--- | :---: |
| Select private $X_{A}$ | $X_{A}<q-1$ |
| Calculate $Y_{A}$ | $Y_{A}=\alpha^{X_{A}} \bmod q$ |
| Public key | $\left\{q, \alpha, Y_{A}\right\}$ |
| Private key | $X_{A}$ |


| Encryption by Bob with Alice's Public Key |  |
| :--- | :--- |
| Plaintext: | $M<q$ |
| Select random integer $k$ | $k<q$ |
| Calculate $K$ | $K=\left(Y_{A}\right)^{k} \bmod q$ |
| Calculate $C_{1}$ | $C_{1}=\alpha^{k} \bmod q$ |
| Calculate $C_{2}$ | $C_{2}=K M \bmod q$ |
| Ciphertext: | $\left(C_{1}, C_{2}\right)$ |


| Decryption by Alice with Alice's Private Key |  |
| :--- | :--- |
| Ciphertext: | $\left(C_{1}, C_{2}\right)$ |
| Calculate $K$ | $K=\left(C_{1}\right)^{X_{A}} \bmod q$ |
| Plaintext: | $M=\left(C_{2} K^{-1}\right) \bmod q$ |

Thus, K functions as a one-time key, used to encrypt and decrypt the message.
For example, let us start with the prime $\mathrm{q}=19$. It has primitive roots $\{2,3,10,13,14,15\}$. We choose $\mathrm{a}=10$. Alice generates a key pair as follows:

1. Alice chooses $\mathrm{XA}=5$.
2. Then $Y A=a^{X A} \operatorname{modq}=a^{5} \bmod 19=3$
3. Alice's private key is 5 and Alice's public key is $\{q, a, Y A\}=\{19,10,3\}$.

Suppose Bob wants to send the message with the value $M=17$. Then:

1. Bob chooses $\mathrm{k}=6$.
2. Then $\mathrm{K}=(\mathrm{YA})^{\mathrm{k}} \bmod \mathrm{q}=3^{6} \bmod 19=729 \bmod 19=7$.
3. So

$$
\begin{aligned}
& \mathrm{C} 1=\mathrm{a}^{\mathrm{k}} \operatorname{modq}=\mathrm{a}^{6} \bmod 19=11 \\
& \mathrm{C} 2=\mathrm{KM} \operatorname{modq} \mathrm{q}=7 * 17 \bmod 19=119 \bmod 19=5
\end{aligned}
$$

4. Bob sends the ciphertext $(11,5)$.

For decryption:

1. Alice calculates $\mathrm{K}=(\mathrm{C} 1)^{\mathrm{XA}} \bmod \mathrm{q}=11^{5} \bmod 19=161051 \bmod 19=7$.
2. Then $\mathrm{K}^{-1}$ is $7^{-1} \bmod 19=11$.
3. Finally, $\mathrm{M}=\left(\mathrm{C} 2 \mathrm{~K}^{-1}\right) \operatorname{modq}=5 * 11 \bmod 19=55 \bmod 19=17$.
