5.2 The Square Potential Barrier

We consider a one-dimensional potential barrier of finite width and height given by



We have a particle of mass *m* incident on the barrier from the left with energy *E*. According to classical mechanics, the particle would always be reflected back if $E < V_0$ and would always be transmitted if $E \ge V_0$.

Case 1: $E > V_0$

Let us divide the whole space into three regions: Region I(x < 0), Region II(0 < x < a) and Region III(x > a).

In regions I and III : the particle is free and so the time-independent Schrödinger equation is

$$-\frac{\hbar^2}{2m}\frac{d^2\psi(x)}{dx^2} = E \ \psi(x)$$
$$\frac{d^2\psi(x)}{dx^2} + k^2\psi(x) = 0, \quad k^2 = \frac{2mE}{\hbar^2} \qquad \dots (5.21)$$

or

The general solution of this equation is

$$\psi(x) = \begin{cases} Ae^{ikx} + Be^{-ikx} & x < 0\\ Fe^{ikx} + Ge^{-ikx} & x > a \end{cases}$$

For x < 0,

- Ae^{ikx} corresponds to a plane wave of amplitude A incident on the barrier from the left
- Be^{-ikx} corresponds to a plane wave of amplitude *B* reflected from the barrier.

For x < a,

- Fe^{ikx} corresponds to a transmitted wave of amplitude *F*.
- G = 0 because no reflected wave is possible in this region.

In region *II*: the Schrödinger equation is

$$-\frac{\hbar^2}{2m}\frac{d^2\psi(x)}{dx^2} + V_0\psi = E\psi$$
$$\frac{d^2\psi}{dx^2} + k'^2\psi(x) = 0, \quad k'^2 = \frac{2m(E-V_0)}{\hbar^2} \qquad \dots (5.22)$$

or

Since $E > V_0$, the quantity k'^2 is positive. Therefore, the general solution of this equation is,

$$\psi(x) = Ce^{ik'x} + De^{-ik'x} \quad 0 < x < a$$

The complete eigenfunction is given by

$$\psi(x) = \begin{cases} Ae^{ikx} + Be^{-ikx} & x < 0\\ Ce^{ik'x} + De^{-ik'x} & 0 < x < a\\ Fe^{ikx} & x > a \end{cases} \dots (5.23)$$



Schematic plots of the real parts of the barrier eigenfunctions for

(a)
$$E > V_0$$
 and (b) $E < V_0$.

Continuity of $\psi(x)$ and $d\psi(x)/dx$ at x = 0 and x = a gives

$$A + B = C + D \qquad \dots (5.24)$$

$$ik (A - B) = ik' (C - D)$$
 ...(5.25)

$$Ce^{ik'a} + De^{-ik'a} = Fe^{ika} \qquad \dots (5.26)$$

$$ik' (Ce^{ik'a} - De^{-ik'a}) = ikFe^{ika}$$
 ...(5.27)

From (5.24) and (5.25) we obtain

$$A = \frac{1}{2k} \left[C(k+k') + D(k-k') \right] \qquad \dots (5.28)$$

$$B = \frac{1}{2k} \left[C(k - k') + D(k + k') \right] \qquad \dots (5.29)$$

From (5.26) and (5.27) we obtain

$$C = \frac{1}{2k'} F(k'+k) e^{i(k-k')a} \dots (5.30)$$

$$D = \frac{1}{2k'} F(k' - k) e^{i(k + k')a} \dots (5.31)$$

Dividing (5.31) by (5.30)

$$\frac{D}{C} = \frac{k' - k}{k' + k} e^{2ik'a} \qquad ...(5.32)$$

Dividing (5.29) by (5.28)

$$\frac{B}{A} = \frac{(k-k') + \left(\frac{D}{C}\right)(k+k')}{(k+k') + \left(\frac{D}{C}\right)(k-k')}$$

On substitution for D/C from (5.32), this becomes

$$\frac{B}{A} = \frac{(k^2 - k'^2)(1 - e^{2ik'a})}{(k+k')^2 - (k-k')^2 e^{2ik'a}} \qquad \dots (5.33)$$

We need a similar expression for F/A. Equations (5.24) and (5.25) yield

$$C = \frac{1}{2k'} [A(k + k') - B(k - k')]$$

Substituting in (5.30)

or

$$\begin{aligned} A(k+k') - B(k-k') &= F(k+k')e^{i(k-k')a} \\ &\frac{F}{A} (k+k')e^{i(k-k')a} = (k+k') - \frac{B}{A}(k-k') \\ &= (k+k') - \left[\frac{(k^2-k'^2)(1-e^{2ik'a})}{(k+k')^2 - (k-k')^2 e^{2ik'a}}\right](k-k') \end{aligned}$$

Simplifying, we obtain

$$\frac{F}{A} = \frac{4kk'e^{i(k'-k)a}}{(k+k')^2 - (k-k')^2 e^{2ik'a}} \qquad \dots (5.34)$$

The reflection and transmission coefficients are, respectively,

and
$$R = \left|\frac{B}{A}\right|^{2} = \left[1 + \frac{4k^{2}k'^{2}}{(k^{2} - k'^{2})^{2}\sin^{2}k'a}\right]^{-1} = \left[1 + \frac{4E(E - V_{0})}{V_{0}^{2}\sin^{2}k'a}\right]^{-1} \qquad \dots (5.35)$$
$$T = \left|\frac{F}{A}\right|^{2} = \left[1 + \frac{(k^{2} - k'^{2})^{2}\sin^{2}k'a}{4k^{2}k'^{2}}\right]^{-1} = \left[1 + \frac{V_{0}^{2}\sin^{2}k'a}{4E(E - V_{0})}\right]^{-1} \qquad \dots (5.36)$$

It can be easily shown that, as expected,

$$R + T = 1$$

The perfect transmission

$$T \to \left[1 + \frac{mV_0 a^2}{2\hbar^2}\right]^{-1} \text{ as } E \to V_0 \text{ (from above)} \qquad \dots(5.37)$$

Case 2: $E < V_0$

In region I (x < 0) and III (x > a), the Schrödinger equation and its solution remain the same as in case 1.

In region II (0 < x < a) the Schrödinger equation is

$$\frac{d^2\psi}{dx^2} - K^2\psi(x) = 0, \quad K^2 = \frac{2m(V_0 - E)}{\hbar^2} \qquad \dots (5.38)$$

Therefore, the eigenfunction in region II is

 $\Psi(x) = Ce^{-Kx} + De^{Kx} \quad 0 < x < a \qquad \dots (5.39)$

The real part of the complete eigenfunction for $E < V_0$ is shown in above Figure.

The reflection and transmission coefficients can be immediately obtained if we replace k' by iK in (5.35) and (5.36). Remembering that $\sin ix = i \sinh x$, we obtain

and

$$\begin{bmatrix} R = \left[1 + \frac{4k^2K^2}{(k^2 + K^2)^2 \sinh^2(Ka)}\right]^{-1} = \left[1 + \frac{4E(V_0 - E)}{V_0^2 \sinh^2(Ka)}\right]^{-1} \\ T = \left[1 + \frac{(k^2 + K^2)^2 \sinh^2(Ka)}{4k^2K^2}\right]^{-1} = \left[1 + \frac{V_0^2 \sinh^2(Ka)}{4E(V_0 - E)}\right]^{-1} \\ \dots (5.41)$$

It is again readily verified that R + T = 1. We note that $T \to 0$ in the limit $E \to 0$.

For a broad high barrier, Ka >> 1. This is true for most cases of practical interest. We may take sinh $Ka \approx \exp(Ka)/2$. In that case,

$$T \approx \left(\frac{4kK}{k^2 + K^2}\right)^2 e^{-2Ka} = \frac{16E(V_0 - E)}{V_0^2} e^{-2Ka} \dots (5.42)$$

Problem 3: Obtain Equation (5.42) from Equation (5.41).

Solution: If Ka >> 1, then $\sinh^2(Ka) >> 1$.

Therefore, Equation (5.41) reduces to

$$T \approx \frac{4k^2 K^2}{(k^2 + K^2)^2 \sinh^2(Ka)} = \frac{4E(V_0 - E)}{V_0^2 \sinh^2(Ka)}$$

Now,

$$\sinh(Ka) = \frac{e^{Ka} - e^{-Ka}}{2} = \frac{e^{-Ka}}{2} \left(e^{2Ka} - 1 \right) \approx \frac{\left(e^{-Ka} \right) \left(e^{2Ka} \right)}{2}$$
$$= \frac{e^{Ka}}{2}$$

Substituting in the above equation

$$T = \frac{4k^2K^2}{(k^2 + K^2)^2} \left(\frac{2}{e^{Ka}}\right)^2 = \frac{4E(V_0 - E)}{V_0^2} \left(\frac{2}{e^{Ka}}\right)^2$$
$$T = \left(\frac{4kK}{k^2 + K^2}\right)^2 e^{-2Ka} = \frac{16E(V_0 - E)}{V_0^2} e^{-2Ka}$$

or

Problem 4: Electrons of energy 2 eV are incident on a barrier 3 eV high and 0.4 nm wide. Calculate the transmission probability.

Solution: Transmission probability
$$T = \left[1 + \frac{V_0^2 \sinh^2(Ka)}{4E(V_0 - E)}\right]^{-1}$$

Here $V_0 - E = (3.0 - 2.0) = 1 \text{ eV} = 1.6 \times 10^{-19} \text{ J}$

Here

$$Ka = \frac{\left[2m(V_0 - E)\right]^{1/2}}{\hbar} a$$
$$= \frac{\left[2 \times 9 \times 10^{-31} \times 1.6 \times 10^{-19}\right]^{1/2}}{1.05 \times 10^{-34}} \times 4 \times 10^{-10}$$
$$= 2.045$$

 $\sinh(2.045) = 3.805$

$$\frac{V_0^2}{4E(V_0 - E)} = \frac{(3.0)^2}{4 \times 2.0 \times 1.0} = \frac{9}{8} = 1.125$$
$$T = [1 + 1.125 \times (3.805)^2]^{-1}$$
$$= 0.058$$

5.3 The Square Potential Well



One-dimensional square well of depth V_0 and range a.

This potential has depth V_0 and range a. Suppose that the particle is incident upon the well from the left. Let us divide the whole space into three regions: Region I (x < 0), Region II (0 < x < a) and Region III (x > a). In the external regions I and III the particle is free and so the time independent Schrödinger equation is

> $-\frac{\hbar^2}{2m}\frac{d^2\psi(x)}{dx^2} = E\psi$ $\frac{d^2\psi(x)}{dr^2} + k^2\psi(x) = 0, \quad k^2 = \frac{2mE}{\hbar^2}$...(5.44)

or

In the interior region II, the Schrödinger equation is

$$-\frac{\hbar^2}{2m}\frac{d^2\psi(x)}{dx^2} - V_0\psi = E\psi$$
$$\frac{d^2\psi(x)}{dx^2} + \beta^2\psi(x) = 0, \quad \beta^2 = \frac{2m(E+V_0)}{\hbar^2} \qquad \dots (5.45)$$

or

Solving Equations (5.44) and (5.45), we obtain the physically acceptable wave function

$$\psi(x) = \begin{cases} Ae^{ikx} + Be^{-ikx} & x < 0\\ (\text{Incident}) & (\text{Reflected}) \\ Ce^{i\beta x} + De^{-i\beta x}, & \beta = \left(\frac{2m(E+V_0)}{\hbar^2}\right)^{1/2} & 0 < x < a \\ Fe^{ikx} & x > a \end{cases}$$
(5.46)

In order to obtain the *reflection* and *transmission* coefficients, we note that the present problem of scattering by a potential well is mathematically similar to the scattering by a potential barrier.

$$R = \left[1 + \frac{4E(E+V_0)}{V_0^2 \sin^2(\beta a)}\right]^{-1} \qquad T = \left[1 + \frac{V_0^2 \sin^2(\beta a)}{4E(E+V_0)}\right]^{-1}$$